



Preliminary Fact Finder

Client Name: _____	DOB: _____	US Citizen: _____
Spouse Name: _____	DOB: _____	US Citizen: _____
Home Address: _____	Home Phone: _____	_____
Client Employer: _____	Spouse Employer: _____	_____
Employer Address: _____	Employer Address: _____	_____
Client Email: _____	Spouse Email: _____	_____
Client Work Ph: _____	Spouse Work Ph: _____	_____
Client Cell: _____	Spouse Cell: _____	_____
Client SS #: _____	Spouse SS #: _____	_____

USA PATRIOT ACT INFORMATION

One of the following Documents are required by Federal Law:

- Driver's License
- State Issued ID
- Passport
- Alien Registration Card

Client	Spouse
Type of Document: _____	Type of Document: _____
Document #: _____	Document #: _____
Expiration Date: _____	Expiration Date: _____
Date Issued: _____	Date Issued: _____
State/Country Issued: _____	State/Country Issued: _____

FAMILY DATA:

Children	DOB	Son/ Daughter	Social Security #

PROPERTY:

Real Estate/ Personal	Current Value	Owner

INVESTMENTS:

Type/Institution Name	Current Value	Owner

RETIREMENT PLANS (401(k), SEP, IRA. etc):

Plan Type	Institution Name	Current Value	Owner	Employee Contribution	Employer Contribution

BUSINESS ASSETS:

Business Name	Base Value	Owner	Ownership %	Business Type

INSURANCE:

Person Insured	Policy Type (Life, Disability, LTC)	Purchase Date	Premium Term	Death Benefit	Cash Value

Does your insurance continue to fill a need? _____
 Do you work closely with a life insurance agent? _____ If yes, whom? _____

LIABILITY:

Mortgage/Loans/ Credit Cards	Institution Name	Current Balance	Interest % Fixed/Adj	Loan Term

SALARY/BONUS and SOCIAL SECURITY:

	Annual Amount
Client Salary/Bonus	
Spouse Salary/Bonus	
Client Social Security	
Spouse Social Security	
Other:	

EXPENSES:

	Annual Amount
Current	
Semi- Retirement	
Retirement	

CURRENT ESTATE PLANS (if yes, check box):

	RLT	Gifts	ILIT	FLP	CLT	CRT	Simple Will	Business Succession	Other
Client									
Spouse									

DESIRED INCOME in the Event of Death:

	Annual Amount
Client's Death	
Spouse's Death	

OTHER ADVISORS:

Do you have a CPA? _____ Is your CPA a key decision maker for you? _____
 Do you have an Estate Planning Attorney? _____ Is your Attorney a key decision maker for you? _____
 Do you have an Investment Advisor? _____